

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11 of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L27100MH1973PLC016617

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAACR6149L

(ii) (a) Name of the company

SARDA ENERGY & MINERALS LI

(b) Registered office address

73 A CENTRAL AVENUE,
NAGPUR
Maharashtra
440018
India

(c) *e-mail ID of the company

cs@seml.co.in

(d) *Telephone number with STD code

07122722407

(e) Website

www.seml.co.in

(iii) Date of Incorporation

23/06/1973

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
	Bombay, National Stock Exchange, Calcutta	1,028

(b) CIN of the Registrar and Transfer Agent

U99999MH1994PTC076534

Pre-fill

Name of the Registrar and Transfer Agent

BIGSHARE SERVICES PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

E-3 ANSA INDUSTRIAL ESTATESAKI VIHAR ROAD
SAKINAKA

(vii) *Financial year From date 01/04/2017 (DD/MM/YYYY) To date 31/03/2018 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM 21/09/2018

(b) Due date of AGM 30/09/2018

(c) Whether any extension for AGM granted Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities 1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C7	Metal and metal products	90.76

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given 15

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	SARDA METALS & ALLOYS LIM	U51420MH2008PLC187689	Subsidiary	100
2	SARDA ENERGY LIMITED	U40102CT2008PLC000406	Subsidiary	100

3	MADHYA BHARAT POWER COF	U74899DL1994PLC061349	Subsidiary	77.96
4	PARVATIYA POWER LIMITED	U40101UP1994PLC017151	Subsidiary	51
5	SARDA HYDRO POWER PRIVAT	U40101CT1999PTC007932	Subsidiary	60
6	RAIPUR FABRITECH PRIVATE LI	U28910MH2011PTC221297	Subsidiary	52.38
7	RAIPUR INDUSTRIAL GASES PR	U40300MH2011PTC224871	Subsidiary	51
8	NATURAL RESOURCES ENERGY	U40101CT2007PTC020163	Subsidiary	100
9	SARDA ENERGY & MINERALS H		Subsidiary	100
10	SARDA GLOBAL VENTURE PTE		Subsidiary	100
11	CHHATTISGARH HYDRO POWE		Subsidiary	60
12	SHRI RAM ELECTRICITY LLP		Subsidiary	51
13	Sarda Global Trading DMCC		Subsidiary	100
14	RAIPUR INFRASTRUCTURE COM	U45203CT2004PLC016321	Joint Venture	33.33
15	MADANPUR SOUTH COAL COM	U10300CT2006PLC020006	Joint Venture	20.63

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	50,000,000	36,049,235	36,049,235	36,049,235
Total amount of equity shares (in Rupees)	500,000,000	360,492,350	360,492,350	360,492,350

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity				
Number of equity shares	50,000,000	36,049,235	36,049,235	36,049,235
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	500,000,000	360,492,350	360,492,350	360,492,350

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)	0	0		

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	36,030,398	360,303,980	360,303,980	
Increase during the year	18,837	188,370	188,370	2,166,255
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	18,837	188,370	188,370	2,166,255
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify				

Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	36,049,235	360,492,350	360,492,350	

Preference shares

At the beginning of the year

Increase during the year

i. Issues of shares

ii. Re-issue of forfeited shares

iii. Others, specify

Decrease during the year

i. Redemption of shares

ii. Shares forfeited

iii. Reduction of share capital

iv. Others, specify

At the end of the year

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	<input type="text" value="28/09/2017"/>
---	---

Date of registration of transfer (Date Month Year)	<input type="text"/>
--	----------------------

Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
------------------	----------------------	--

Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
---	----------------------	---	----------------------

Ledger Folio of Transferor	<input type="text"/>
----------------------------	----------------------

Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
----------------------------	----------------------

Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
--	----------------------

Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
------------------	----------------------	--

Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
---	----------------------	---	----------------------

Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			2,862,731,000
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			400,000,000
Deposit			0
Total			3,262,731,000

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	1,250	0	1,250	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

15,150,935,000

(ii) Net worth of the Company

13,687,101,000

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	7,907,397	21.93	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	17,989,232	49.9	0	

10.	Others Partnership Firm	147,731	0.41	0	
	Total	26,044,360	72.24	0	0

Total number of shareholders (promoters)

21

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	5,122,295	14.21	0	
	(ii) Non-resident Indian (NRI)	188,403	0.52	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	250	0	0	
4.	Banks	34,070	0.09	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	11,220	0.03	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	3,186,543	8.84	0	
10.	Others Foreign Portfolio Investors,	1,462,094	4.06	0	
	Total	10,004,875	27.75	0	0

Total number of shareholders (other than promoters)

20,749

Total number of shareholders (Promoters+Public/Other than promoters)

20,770

(c) *Details of Foreign institutional investors' (FIIs) holding shares of the company

1

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
COMMONWEALTH OF				11,220	0.03

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	20	21
Members (other than promoters)	19,233	20,749
Debenture holders	2	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**(A) *Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	2	1	2	1	5.55	1.36
B. Non-Promoter	1	6	1	6	0.03	0.07
(i) Non-Independent	1	0	1	0	0.03	0
(ii) Independent	0	6	0	6	0	0.07
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	3	7	3	7	5.58	1.43

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

12

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Kamal Kishore Sarda	00008170	Managing Director	1,309,149	
Pankaj Sarda	00008190	Whole-time director	691,107	
Uma Sarda	00009783	Director	489,425	
Jitender Balakrishnan	00028320	Director	1,667	
Rakesh Mehra	00035812	Director	9,737	
Prabhakar Ram Tripathi	00376429	Director	0	
Lakshminarayanan Chit	01308030	Director	8,000	
Asitkumar Basu	01382260	Director	4,000	
Gajinder Singh Sahni	02066897	Director	3,500	
Padam Kumar Jain	00008379	Whole-time director	10,073	
Padam Kumar Jain	ACMPJ5876F	CFO	10,073	
Manish Sethi	ARJPS5070Q	Company Secretar	1,680	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

0

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	28/09/2017	17,487	33	69.08

B. BOARD MEETINGS

*Number of meetings held

6

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	06/06/2017	10	7	70
2	05/08/2017	10	8	80
3	28/09/2017	10	9	90
4	04/11/2017	10	8	80
5	10/02/2018	10	10	100
6	18/03/2018	10	10	100

C. COMMITTEE MEETINGS

Number of meetings held

18

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	05/06/2017	5	2	40
2	Audit Committee	04/08/2017	5	3	60
3	Audit Committee	03/11/2017	5	4	80
4	Audit Committee	09/02/2018	5	5	100
5	Nomination & Remuneration	06/06/2017	4	3	75
6	Nomination & Remuneration	05/08/2017	4	2	50
7	Nomination & Remuneration	28/09/2017	4	4	100
8	Nomination & Remuneration	04/11/2017	4	3	75
9	Stakeholders' Meeting	13/04/2017	2	2	100
10	Stakeholders' Meeting	26/05/2017	2	2	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on 21/09/2018 (Y/N/NA)
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	

1	Kamal Kishore	6	6	100	7	5	71.43	Yes
2	Pankaj Sarda	6	5	83.33	7	5	71.43	Yes
3	Uma Sarda	6	6	100	0	0	0	Yes
4	Jitender Balak	6	6	100	11	11	100	Yes
5	Rakesh Mehra	6	2	33.33	4	1	25	Yes
6	Prabhakar Rai	6	5	83.33	6	5	83.33	No
7	Lakshminarayan	6	6	100	4	4	100	Yes
8	Asitkumar Bas	6	5	83.33	11	10	90.91	Yes
9	Gajinder Singh	6	5	83.33	8	6	75	Yes
10	Padam Kumar	6	6	100	1	1	100	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Kamal Kishore Sarda	Chairman & Mar	14,494,000	30,000,000	0	0	44,494,000
2	Pankaj Sarda	Wholetime Direc	7,054,000	0	0	0	7,054,000
3	Padam Kumar Jain	Wholetime Direc	5,034,000	0	0	0	5,034,000
	Total		26,582,000	30,000,000	0	0	56,582,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Manish Sethi	Company Secre	1,229,000	0	0	0	1,229,000
	Total		1,229,000	0	0	0	1,229,000

Number of other directors whose remuneration details to be entered

7

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Uma Sarda	Director	0	0	0	120,000	120,000
2	Lakshminarayanan	Director	0	300,000	0	160,000	460,000

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
3	Asitkumar Basu	Director	0	300,000	0	200,000	500,000
4	Rakesh Mehra	Director	0	300,000	0	50,000	350,000
5	Prabhakar Ram Tripathi	Director	0	300,000	0	150,000	450,000
6	Gajinder Singh Sahni	Director	0	300,000	0	160,000	460,000
7	Jitender Balakrishna	Director	0	300,000	0	230,000	530,000
	Total		0	1,800,000	0	1,070,000	2,870,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Surendra Kumar Jain

Whether associate or fellow

Associate Fellow

Certificate of practice number

2192

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

KAMAL
KISHORE
SARDA
Digitally signed by
KAMAL KISHORE
SARDA
Date: 2016.11.12
13:44:45 +05'30'

DIN of the director

00008170

To be digitally signed by

MANISH
SETHI
Digitally signed by
MANISH SETHI
Date: 2016.11.12
14:22:00 +05'30'

Company Secretary

Company secretary in practice

Membership number

18069

Certificate of practice number

Attachments

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachement(s), if any

Attach
Attach
Attach
Attach

List of attachments

List of shareholders.pdf
MGT-8.pdf
SEML - Committee Meetings - 2017-18.pdf
SEML - Share Transfers.pdf
Note.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

Point No. III

Sarda Global Trading DMCC, the Wholly Owned Subsidiary in Dubai has been incorporated on 06.03.2018. However, the initial capital has been infused in April, 2018. Accordingly, there is no information to report for the year 2017-18.

Point No. VIII. B (i)

Mr. Padam Kumar Jain is Wholetime Director as well as Chief Financial Officer. As such his name has been given twice – 1st for Wholetime Director and 2nd for Chief financial Officer.

SARDA ENERGY & MINERALS LIMITED

Details of Committee Meetings - 2017-18:

Sr. No.	Type of Meeting	Date of Meeting	Total Number of Members as on the date of Meeting	Attendance	
				No. of Members Attended	% of attendance
1	Audit Committee	05.06.2017	5	2	40
2	Audit Committee	04.08.2017	5	3	60
3	Audit Committee	03.11.2017	5	4	80
4	Audit Committee	09.02.2018	5	5	100
5	Nomination & Remuneration Committee	06.06.2017	4	3	75
6	Nomination & Remuneration Committee	05.08.2017	4	2	50
7	Nomination & Remuneration Committee	28.09.2017	4	4	100
8	Nomination & Remuneration Committee	04.11.2017	4	3	75
9	Stakeholders Relationship Committee	13.04.2017	2	2	100
10	Stakeholders Relationship Committee	26.05.2017	2	2	100
11	Stakeholders Relationship Committee	21.07.2017	2	2	100
12	Stakeholders Relationship Committee	08.12.2017	2	2	100
13	Stakeholders Relationship Committee	22.12.2017	2	2	100
14	Stakeholders Relationship Committee	19.01.2018	2	2	100
15	Stakeholders Relationship Committee	25.01.2018	2	2	100
16	CSR Committee	06.06.2017	3	2	66.67
17	CSR Committee	10.02.2018	3	3	100
18	Risk Management Committee	09.02.2018	4	4	100

SARDA ENERGY AND MINERALS LTD
 DETAILS OF TRANSFERS 01.04.2017 TO 31.03.2018

DATE	TYPE	SHARES	VALUE	SFOLIO	SNAME	BUYER FOLIO & NAME
13/04/2017	1	0000150	000010	S05391	SUSHI LA S AKATRAI	S08428 SUSHI LA SHAM AKATRAI
26/05/2017	1	0000050	000010	L02199	LEENA NEGANDHI	L02663 LALI T DHI RAJLAL NEGA
21/07/2017	1	0000100	000010	M03511	MANJI T KAUR BHATI A	M05372 MANJI T KAUR
08/12/2017	1	0000200	000010	K04543	KUSUM MANADHANI YA	K04937 KUSUM BI YANI
22/12/2017	1	0000200	000010	P02484	PRABHA DEVI BHADANI	B04053 BI NI T BHADANI
19/01/2018	1	0000050	000010	S08429	SANGEETA MANADHANI YA	K04938 KETAN MANADHANI YA
19/01/2018	1	0000200	000010	K04549	KETAN MANADHANI YA	K04938 KETAN MANADHANI YA
19/01/2018	1	0000200	000010	K04937	KUSUM BI YANI	K04938 KETAN MANADHANI YA
19/01/2018	1	0000100	000010	K04539	KETAN MANADHANI YA	K04938 KETAN MANADHANI YA
25/01/2018	1	0000050	000010	P03953	PREETI CHHABRI A	S08430 SUNI L KUMAR
25/01/2018	1	0000100	000010	K02808	KIRI T HARI DAS GHEEWA	J03720 JI GNESH KIRI T GHEEWA
GRANT TOTAL		1400				



Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A PRACTICING COMPANY SECRETARY

I have examined the registers, records and books and papers of **M/S. SARDA ENERGY & MINERALS LIMITED** (the Company) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made there under for the financial year ended on 31st March, 2018. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the company, its officers and agents, I certify that:

A. the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.

B. during the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:

1. its status under the Act;
2. maintenance of registers/records & making entries therein within the time prescribed there for;
3. filing of forms and returns as stated in the annual return with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within/ beyond the prescribed time;
4. calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;
5. Closure of Register of Members / Security holders, as the case may be.
6. The Company has not given any advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act.
7. The Company has entered into contracts/arrangements with related parties which were in the ordinary course of business and on the arms length basis as specified in the provisions of section 188 of the Act;





SURENDRA KUMAR JAIN

FCS, ACA, FCMA

Practising Company Secretary

8. The Company has issued and allotted shares under ESOP Scheme implemented by the Company within prescribed time. There was no buy back of shares, no reduction of share capital and no conversion of shares. There was redemption of debentures towards final installment.
9. The Company was not required to keep in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act.
10. declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;
11. signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
12. constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;
13. appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act;
14. No approval was required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;
15. The Company has not accepted/ renewed any deposits;
16. borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable;
17. Loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act ;
18. There was no alteration of the provisions of the Memorandum and/ or Articles of Association of the Company;

Place: Raipur

Date: 10th November, 2018

Signature:

Name: Surendra Kumar Jain

Membership No.: 3896

C. P. No.: 2192

